

# **Innovations in HIV Prevention Clinical Trial Designs and the Recency Assay**

***Flavia Matovu Kiweewa, MBChB, MSc., PhD  
Makerere University***

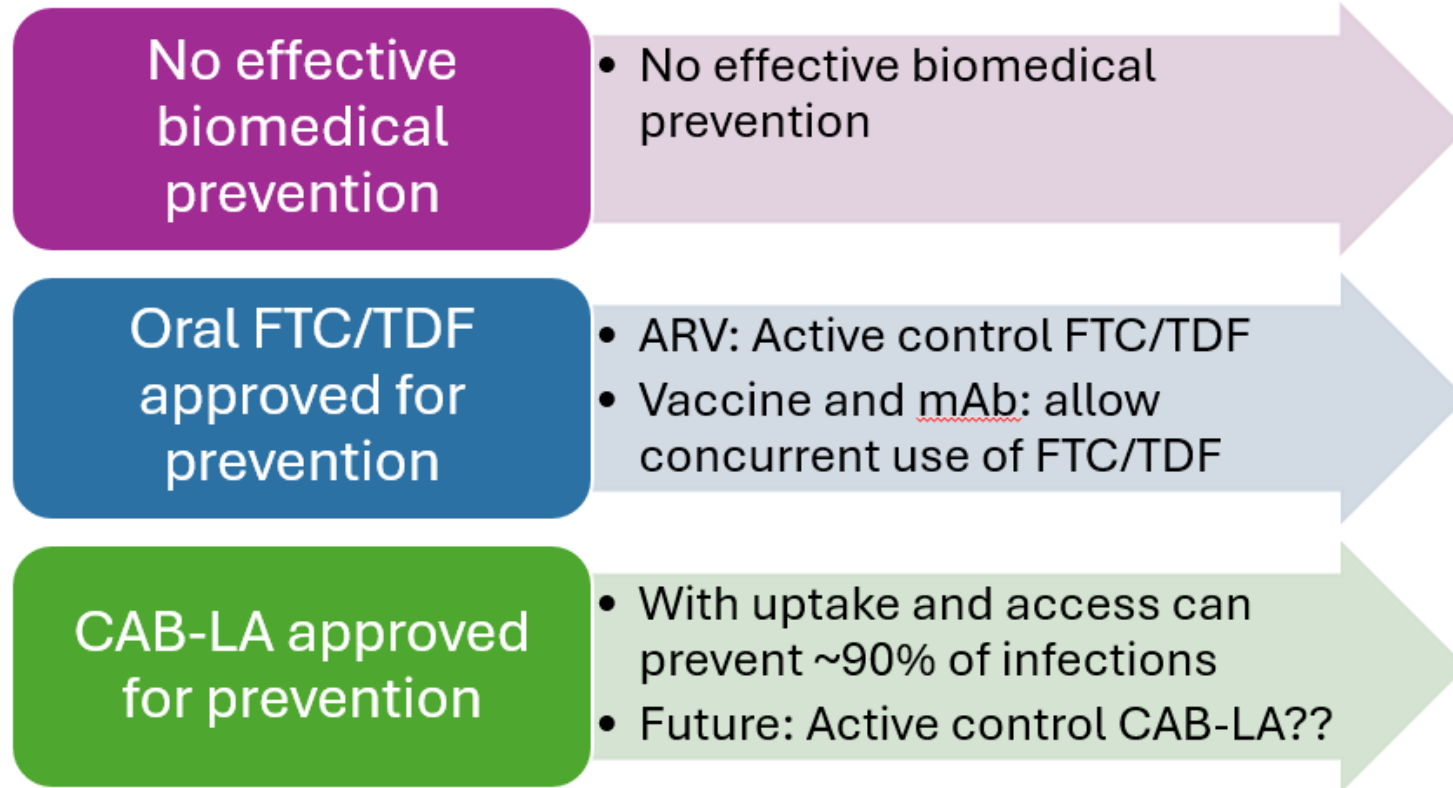
**The Future of HIV Prevention Clinical Trials Summit  
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# The Evolving Landscape of HIV Prevention

- HIV prevention has made significant strides, but challenges remain.
- Clinical trials are crucial for developing new prevention tools.
- Need for continuous innovation to achieve epidemic control.



# Three eras of HIV prevention trials



# Challenges facing HIV prevention clinical trial designs

## Superiority to placebo

Unethical in most situations given safe, efficacious PrEP options<sup>1</sup>

## Superiority to active comparator

Requires nonadherence to the active comparator  
May not be reasonable to assume superiority to active comparator<sup>2</sup>

## Noninferiority to active comparator

Large cohort sizes with long-duration<sup>3</sup>  
Infeasible in populations where the active comparator's efficacy has been variable in clinical trials (e.g., cisgender women)<sup>1</sup>

• HIV, human immunodeficiency virus; F/TDF, emtricitabine/tenofovir disoproxil fumarate; PrEP, pre-exposure prophylaxis.

1. Janes H, et al. *Lancet HIV*. 2019;6:e475-82; 2. Cutrell A, et al. *HIV Clin Trials*. 2017;18:177-88;  
3. Grobler AC and Karim Abdool SS *AIDS*. 2012;26:529-532.

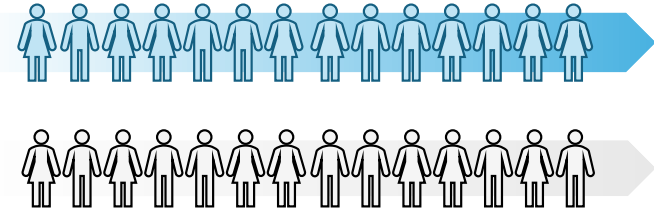
# Counterfactual Design

**counter·fac·tu·al**: relating to or expressing what has not happened, or is not the case

Counterfactual  
trial design

+ Intervention  
*factual*

- Intervention  
*counterfactual estimate*

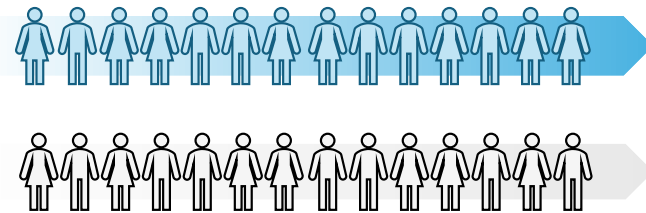


Randomized  
controlled trial

+ Intervention  
*factual*

Placebo

- Intervention  
*counterfactual estimate*



The randomized placebo-controlled trial is a specific type of **counterfactual design**

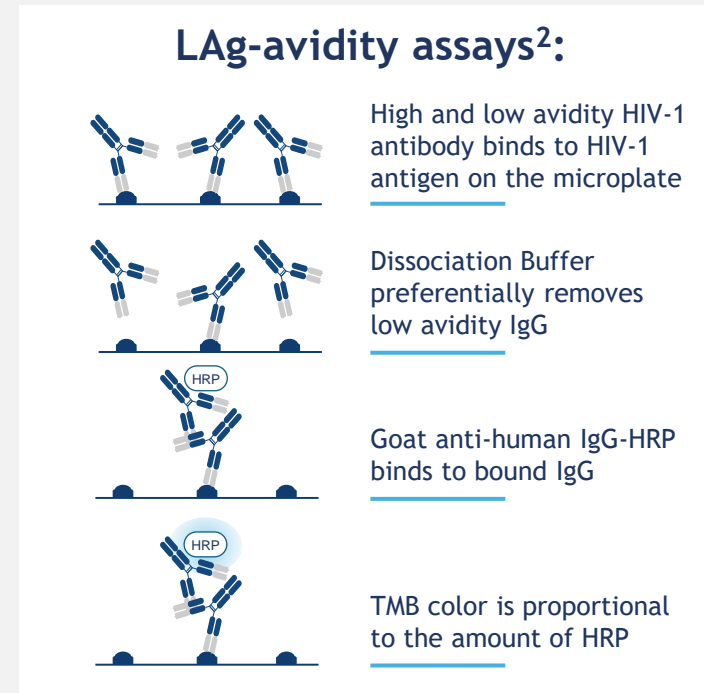
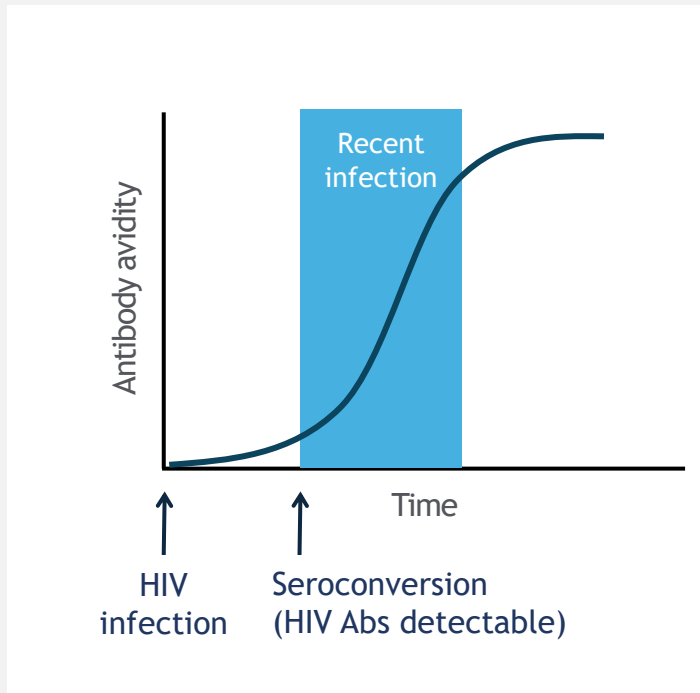


# What is a Recency Assay?

- The recency assay provides a powerful tool for distinguishing recent from long-standing HIV infections.
  - **Definition:** A laboratory test that identifies individuals who have been infected with HIV within a relatively short, defined period (e.g., within 6-12 months)
  - **Commonly Used Assays:** Limiting Antigen (LAg) Avidity Assay
  - **Biological Basis:** Typically measures the maturation of the HIV antibody response. Newer assays also incorporate viral load or other biomarkers
  - **Output:** Classifies individuals as "recent" or "long-term" infection

# What does a recency assay measure?

Recency assays measure HIV antibody avidity through limiting-antigen (LAg) avidity testing and normalized optical density values recent HIV infection ( $ODn \leq 1.5$ )<sup>1</sup>



• Abs, antibodies; Ag, antigen; HIV, human immunodeficiency virus; HRP, horseradish peroxidase; IgG, immunoglobulin G; LAg, limiting Ag; ODn, normalized optical density; TMB, 3,3',5,5'-tetramethylbenzidine.

# Recent Infection Testing Algorithms (RITAs) have been used to estimate HIV incidence for public health

- 1 Collect specimens from population/ geography of interest
- > 2 Conduct standard HIV testing
- > 3 Conduct recency assay for HIV positive samples
- > 4 Estimate HIV incidence

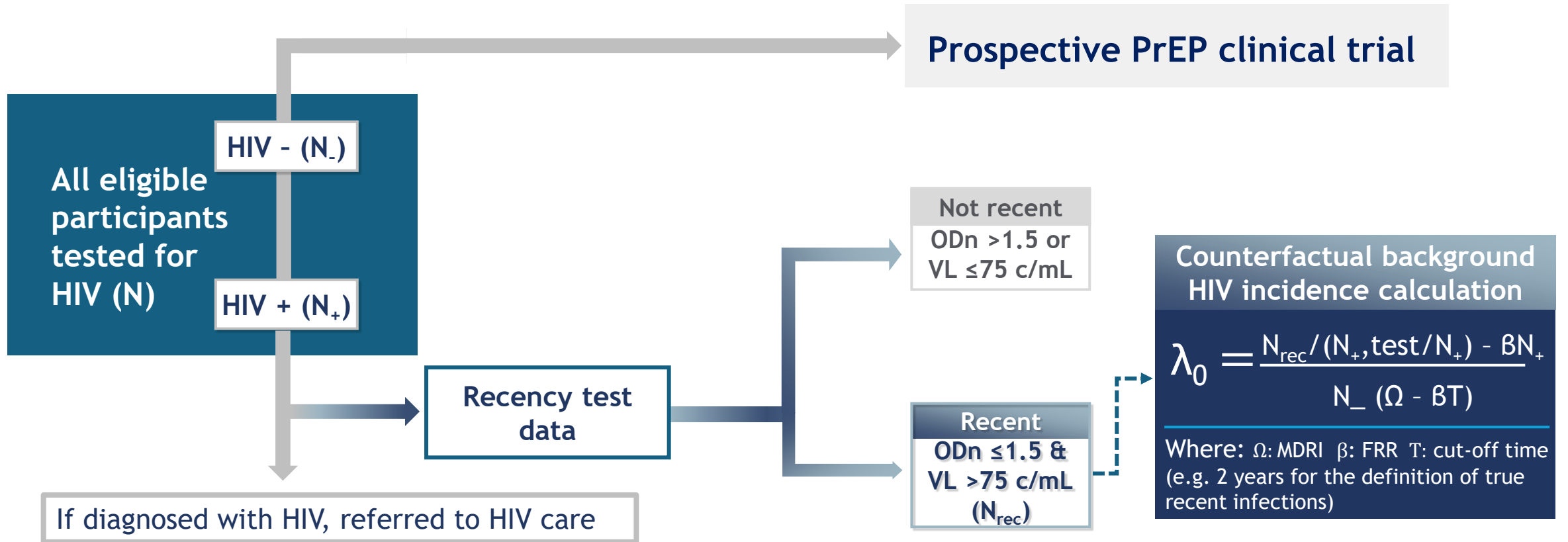
$$\text{HIV incidence rate}^1 = \frac{\left( \frac{\text{Recent infections}}{\text{Persons without HIV}} \right)}{\text{Recency lookback period}}$$



**Using recency assays  
for HIV surveillance**  
2022 technical guidance

RITAs are used to understand HIV incidence trends at the population level

# Applying the RITA to estimate bHIV incidence in a PrEP clinical trial<sup>1,2</sup>



• bHIV, background HIV; c/mL, copies per mL; FRR, false-recent rate; HIV, human immunodeficiency virus; MDRI, mean duration of recent infection; ODn, normalised optical density; RITA, recent-infection testing algorithm; VL, viral load.

1. Kassa R, et al. *Epidemiology*. 2012;23:721-8;  
2. Gao F, et al. *Stat Commun Infect Dis*. 2021;13:20200009.




# Consensus reached on how to use the RITA to estimate counterfactual bHIV incidence in the new PrEP trials

## Regulatory agencies



WHITE PAPER

Facilitating Next-Generation Pre-Exposure Prophylaxis Clinical Trials Using HIV Recent Infection Assays: A Consensus Statement from the Forum HIV Prevention Trial Design Project

Neil Parkin<sup>1</sup>, Fei Gao<sup>2</sup>, Eduard Grebe<sup>3,4</sup>, Amy Cutrell<sup>5</sup>, Moupali Das<sup>6</sup>, Deborah Donnell<sup>2</sup>, Ann Duerr<sup>2</sup>, David V. Glidden<sup>4</sup>, James P. Hughes<sup>7</sup>, Jeffrey Murray<sup>8</sup>, Michael N. Robertson<sup>9</sup>, Joerg Zinslerling<sup>10</sup>, Joseph Lau<sup>11</sup>, and Veronica Miller<sup>11,\*</sup>  for the Forum for Collaborative Research Recency Assay Working Group



**THE FORUM**  
For Collaborative Research<sup>SM</sup>

## Drug developers



## Intergovernmental / governmental agencies



## Academic / non-profit



## Diagnostics



• bHIV, background HIV; HIV, human immunodeficiency virus; RITA, recent infection testing algorithm.



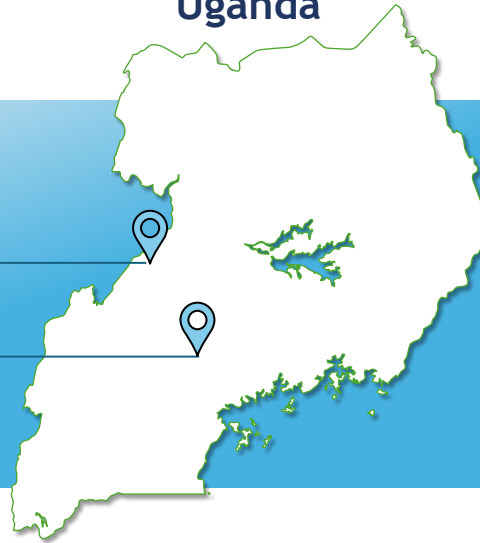
# SIENA & ECHO-RITA results support use of Recency assays to estimate bHIV in Uganda and South Africa

## SIENA<sup>1,2</sup>

### Uganda

Hoima:  
bHIV 3.11/100 PY  
95% CI, 0.84-11.5<sup>3</sup>

Mityana/Mubende:  
bHIV 23.2/100 PY  
95% CI, 13.1-41.2<sup>3</sup>

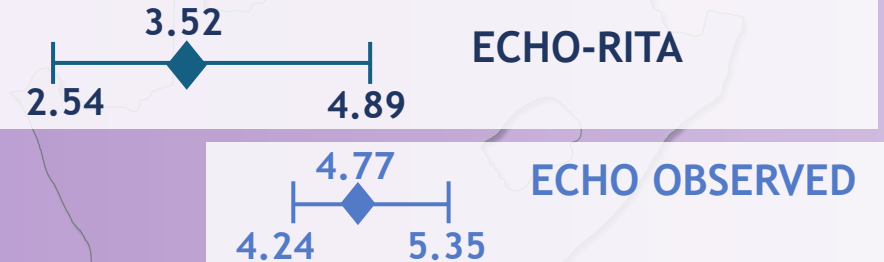


Data from SIENA confirmed Sedia LAg-EIA performance with A/E clades, estimated bHIV incidence in unknown suspected hyperendemic sites, and supported site selection for the **PURPOSE 1** trial

## ECHO-RITA

### South Africa

Mean incidence/100 PY, 95% CI



Data from ECHO-RITA demonstrated that RITA-estimated bHIV incidence (by Sedia LAg-EIA) was similar to the observed incidence in ECHO, a contraceptive trial with AGYW in South Africa

• AGYW, adolescent girls and young women; bHIV, background HIV; CI, confidence interval; ECHO, The Evidence for Contraceptive Options and HIV Outcomes; EIA, enzyme immunoassay; HIV, human immunodeficiency virus; LAg, limiting antigen avidity; PY, person years; RITA, recent infection testing algorithm; SIENA, eStimating hIv incidEnce amoNg Agyw.

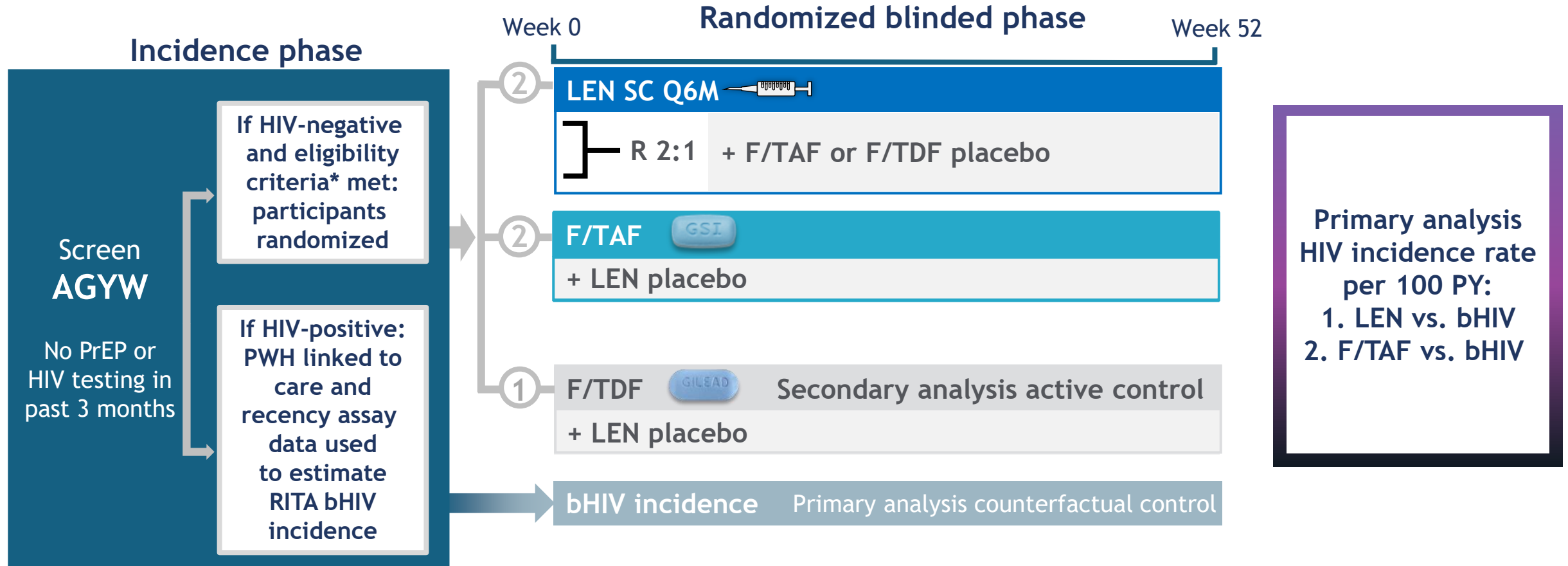
Cox S, et al. IAS 2023 (Presentation EPC0319)

1. Cox S, et al. Presented at HIV Glasgow 2022. Poster P003;  
2. Cox S, et al. Presented at IDWeek 2022. Poster 2079;  
3. Kiweewa FM, et al. Presented at AIDS 2022. Poster EPC114.



# PURPOSE 1 design: randomized blinded phase

LEN for PrEP, prevention of vaginal HIV acquisition



Primary analysis HIV incidence rate per 100 PY:  
1. LEN vs. bHIV  
2. F/TAF vs. bHIV

\*High-level eligibility criteria: eGFR=> 60 ml/min, >=35 kg

AGYW, adolescent girls and young women; bHIV, background HIV; eGFR, estimated glomerular filtration rate; F/TAF, emtricitabine/tenofovir alafenamide; F/TDF, emtricitabine/tenofovir disoproxil fumarate; HIV, human immunodeficiency virus; LEN, lenacapavir; PrEP, pre-exposure prophylaxis; PWH, people with HIV; PY, person years; Q6M, every six months; RITA, recent-infection testing algorithm; SC, subcutaneous.

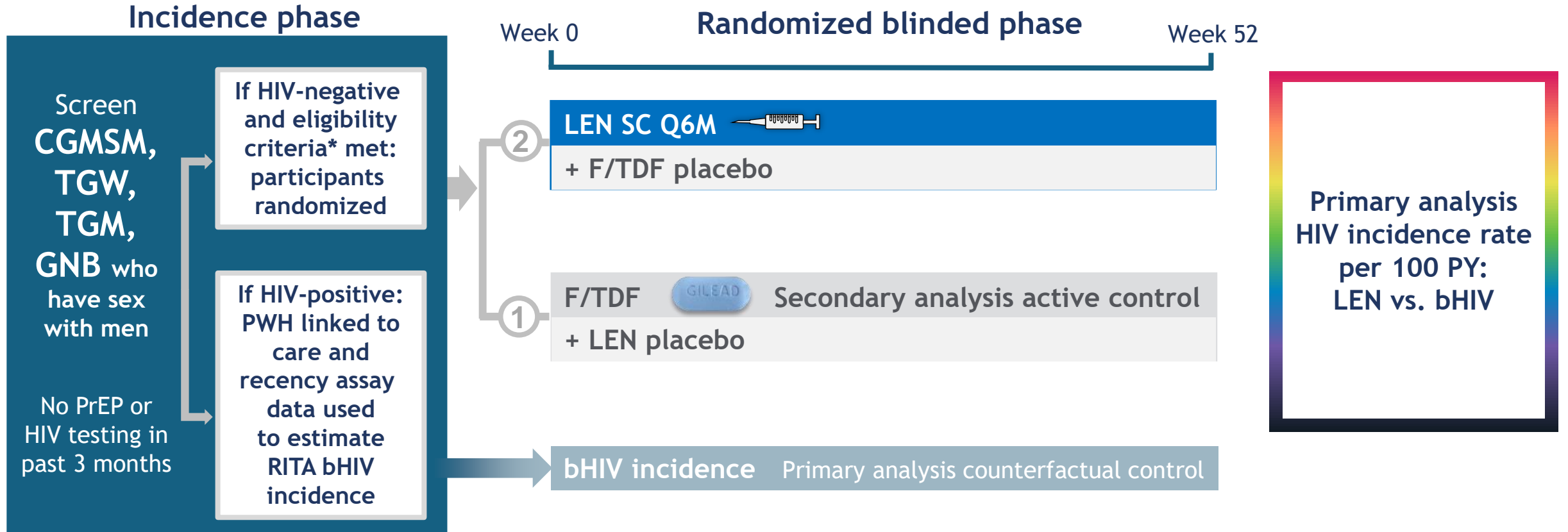
ClinicalTrials.gov identifier: NCT04994509





# PURPOSE 2 design: randomized blinded phase

LEN for PrEP, prevention of rectal HIV acquisition



\*High-level eligibility criteria: eGFR=> 60 ml/min, >=35 kg

bHIV, background HIV; CGMSM, cisgender men who have sex with men; eGFR, estimated glomerular filtration rate; F/TDF, emtricitabine/tenofovir disoproxil fumarate; GNB, gender nonbinary individuals; HIV, human immunodeficiency virus; LEN, lenacapavir; PrEP, pre-exposure prophylaxis; PY, person years; Q6M, every six months; RITA, recent-infection testing algorithm; SC, subcutaneous; TGM, transgender men, TGW, transgender women.

ClinicalTrials.gov identifier: NCT04925752



# Advantages of Incorporating Recency Assays in Trial Designs

- Recency assays offer significant benefits for the efficiency, ethics, and interpretability of HIV prevention trials:
  - **Reduced Sample Size Requirements:** By leveraging background incidence, trials can be smaller.
  - **Shorter Trial Durations:** Faster assessment of intervention efficacy.
  - **Enhanced Ethical Acceptability:** Minimizes the need for placebo arms, particularly in high-incidence settings.
  - **Real-World Relevance:** Provides incidence data directly relevant to ongoing transmission dynamics.
  - **Improved Resource Allocation:** Directs prevention efforts to areas with ongoing transmission.

# Conclusions

- Increasing availability and use of long-acting PrEP presents a significant hurdle for evaluating the effectiveness of new prevention methods
- Innovative trial designs and tools like the recency assay are essential for accelerating HIV prevention efforts
  - Aligns with the FDA's "Considerations for the Design and Conduct of Externally Controlled Trials for Drug and Biological Products" (Draft Guidance 2023)
  - Collaborative engagement all key stakeholders essential in navigating this evolving landscape – researchers, communities, funders, and policy makers
- The field continues to evolve, with ongoing efforts to refine trial designs and maximize the utility of tools like recency assays.

# Acknowledgements

- Research participants – SIENA, ECHO, PURPOSE 1 & 2 studies
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# Questions

**Flavia Matovu Kiweewa,  
MBChB, MSc., PhD**

Email: [fmatovu@mujhu.org](mailto:fmatovu@mujhu.org)



[linkedin.com/in/flavia-matovu-kiweewa-2810882](https://www.linkedin.com/in/flavia-matovu-kiweewa-2810882)



@fmatovu2